

06-18-04

*JPW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Confirmation No.: 2615

Zhuomin Ding et al.

Group Art Unit: 3765

Appl. No.: 10/663,295

Examiner: Unknown

Filed: September 16, 2003

Attorney Docket No.: SO-0004 US NA

For: SPIN ANNEALED POLY(TRIMETHYLENE TEREPHTHALATE) YARN

**SECOND INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants would like to bring to the attention of the U.S. Patent and Trademark Office information that may be helpful in the examination of the above-identified patent application. All of the information is listed on an attached Form PTO/SB/08. A copy of each document is submitted herewith.

Please note the following:

- US 6,495,254 B1 is a counterpart to EP1172467.
- US 6,620,502 B1 is a counterpart to EP 1209262. Please note that JP 2001-214372 and JP2001-020136 are priority applications for US 6,620,502. Applicants have not provided a machine translation of JP2001-214372, but will obtain one and submit it to the Patent Office if requested by the Examiner.
- US 6,680,353 B1 is a counterpart to WO 00/26301.
- US 2003/180533A1 is a counterpart to WO 01/85590 and EP1285876.
- Full copies of EP 1172467, 1209262 and EP1285876 are enclosed since it is not clear whether they were submitted with the prior Information Disclosure Statement.
- Applicants are submitting machine translations of all of the Japanese patent documents cited on the form PTO/SB/08A and a machine translation of WO 00/26301.

Application No.: 10/663,295  
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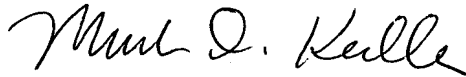
- Applicants submit a translation of Asahi's JP 01214372 prepared by one of the inventors. Applicants do not represent that the information in this translation is correct and direct the Examiner's attention to the machine translation that is submitted herewith.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO/SB/08a and PTO/SB/08 forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 04-1928.

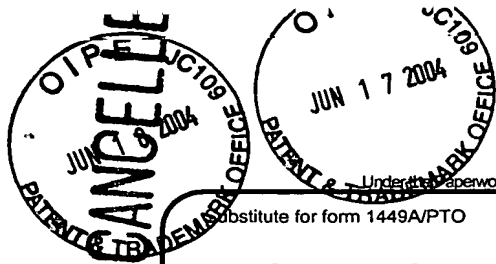
Respectfully submitted,



**MARK D KULLER**  
ATTORNEY FOR APPLICANTS  
Registration No.: 31,925  
Telephone: (302) 892-1354  
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Dated: May 28, 2004

Enclosures



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	10/663295
Filing Date	September 16, 2003
First Named Inventor	ZHUOMIN DING
Group Art Unit	3765
Examiner Name	
Attorney Docket Number	SO0004USNA

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup>	(if known)			
		US -	6,495,254 B1	12-17-2002	Abe et al.	
		US -	6,620,502 B1	09-16-2003	Fujimoto et al.	
		US -	6,680,353 B1	01-20-2004	Kato et al.	
		US -	2003/0180533 A1	09-25-03	Koyanagi et al.	
		US -				
		US -				

### FOREIGN PATENT DOCUMENTS

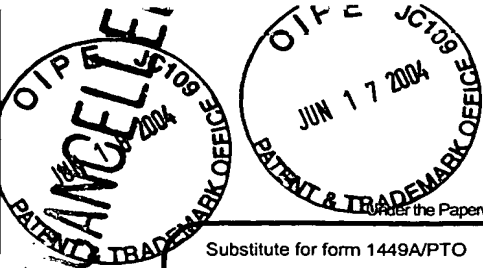
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		CountryCode <sup>3</sup>	Number <sup>4</sup> Kind Code <sup>5</sup>				
			EP 1 142 955 A1	10-10-2001	Asahi		<input type="checkbox"/>
			EP 1 172 467 A1	01-16-2002	Asahi		<input type="checkbox"/>
			EP 1 209 262 A1	05-29-2002	Asahi		<input type="checkbox"/>
			EP 1 285 876 A1	02-26-2003	Asahi		<input type="checkbox"/>
			JP 2001-020136	01-23-2001	JP 63-42007		<input checked="" type="checkbox"/>
			JP 2000-239921	09-05-2000	Unitika LTD		<input checked="" type="checkbox"/>
			JP 2001-254226	09-21-2001	Asahi		<input checked="" type="checkbox"/>
			JP 2001-279528	10-10-2001	Teijin		<input checked="" type="checkbox"/>
			JP 2001-064824	03-13-2001	Toray		<input checked="" type="checkbox"/>
			JP 2001-348731	12-21-2001	Asahi		<input checked="" type="checkbox"/>
			JP 2002-061038	02-28-2002	Asahi		<input checked="" type="checkbox"/>
			JP 2002-129427	05-09-2002	Asahi		<input checked="" type="checkbox"/>
			WO 00/26301	05-11-2000	Asahi		<input checked="" type="checkbox"/>

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

### Complete if Known

Application Number	10/663,295
Filing Date	September 16, 2003
First Named Inventor	ZHUOMIN DING ET. AL.
Group Art Unit	3765
Examiner Name	
Attorney Docket Number	SO0004USNA

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		English Translation of Asahi's JP 01214372 By Z. Ding	<input type="checkbox"/>
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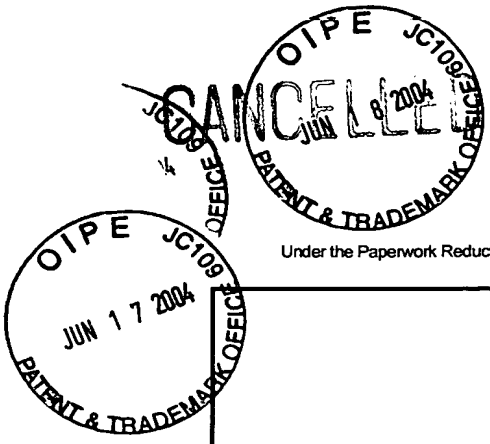
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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## Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (EV 537166885 US) in an envelope addressed to:

Commissioner for Patents; P.O. Box 1450; Mail Stop Amendment  
Alexandria, VA 22313-1450

on June 17, 2004  
Date

*Barbara A. Ferzetti*  
Signature

Barbara A. Ferzetti  
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Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

10/663,295  
SO-0004 US NA

Second Information Disclosure Statement  
PTO/SB/08A  
PTO/SB/08B  
Copies of 17 references cited in 08A form above  
Copy of 1 reference cited in 08B form above  
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